



ROUNDTABLE CONFERENCE ON SUSTAINABLE PALM OIL



**A Renewed Commitment to
Achieving Market Transformation**



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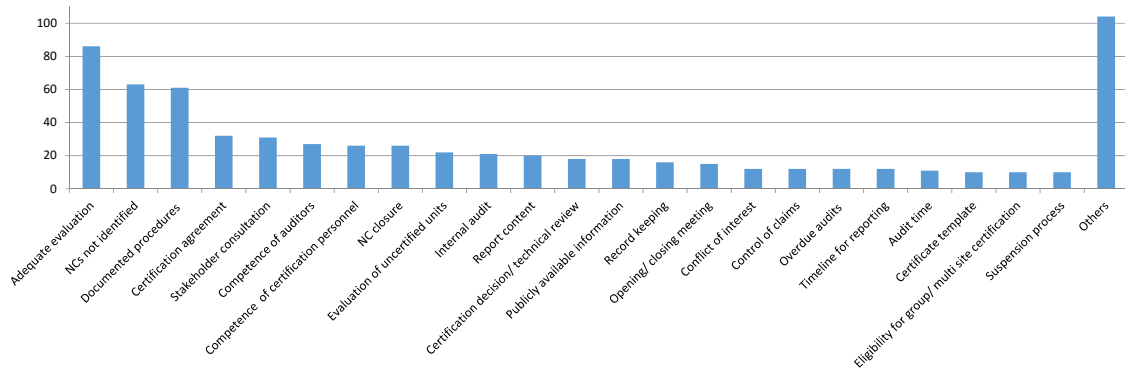


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ASI Findings: Trends from 2015 to 2018

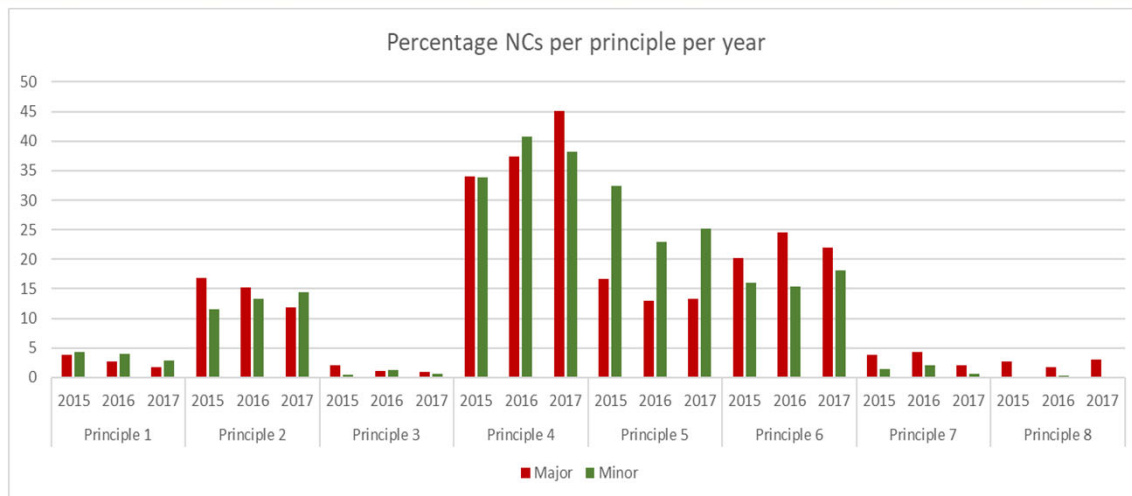
NCs per topic raised in the RSPO program 1/1/2015 to 10/10/2018



RSPO P&C Certificate Holder NC Data Analysis



Percentage NCs per principle per year



RSPO P&C Certificate Holder NC Data Analysis



Average number and type of NCs in audits witnessed and not witnessed by ASI



ASI Social Competence Center



- Established in **September 2017** – team of 3 based in UAE, India and South Africa
- To provide ASI clients assurance that social requirements in Standards are implemented **effectively and uniformly**
 - **Build capacity** among assessors – **oversight** during assessments, subsequent **calibration** and **training**
 - Address internal processes within ASI – social auditing procedure, **risk indexes**, chronological **NGO allegations** and **media exposé**
 - Cooperation with Scheme owners – improve **auditability** of social requirements, develop **auditing protocols** and **white papers**
- To move from **reactive** to **proactive** – build **trust**



Appraise and apply GIS tools in auditing

Objective:

To develop a GIS workflow that is aimed at making audits more effective and efficient

What Have We Done:

- On Site Training with Auditors from CABs and Scheme Owners on GIS usage during audit
- Developed the GIS self-starter kit



ASI Integrity Services

Four broad areas to address integrity challenges:

- **Forensic examinations** into areas of illegal activity
- **Fraud Risk Assessments** and **Fraud Gap Analysis** to identify weaknesses in policies and procedures
- Guidance in the development of **whistleblowing, business partner due diligence** and other systems to ensure ethical business practices
- **Training** for leadership and staff on fraud awareness and prevention techniques

Thank You